

Attorney's Docket No. 035576/271460

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

2006 MAR -8 PM 4:10

US PATENT & TRADEMARK  
OFFICE

In re: Iwabuchi, et al.

Confirmation No.: 6716

Appl. No.: 10/706,863

Group No.: 2826

Filed: November 12, 2003

Examiner: Quach, Tuan N.

For: COMPOSITION FOR FORMING POROUS FILM, POROUS FILM AND  
METHOD FOR FORMING THE SAME, INTERLEVEL INSULATOR FILM,  
AND SEMICONDUCTOR DEVICE

MAIL STOP 16

Director of the US Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

**REQUEST FOR REFUND**

(Improper Charge of Deposit Account)

1. **REFUND REQUEST:** This is a request for a refund of \$180.00, with respect to the charge to Deposit Account 16-0605 for Fee Code 1806, shown on the enclosed statement dated January 2006, for the above-identified patent application.
2. **EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR:** The USPTO charged Deposit Account 16-0605 on January 23, 2006 (see attached statement) and indicated on the Supplemental Information Disclosure Statement that a check for \$180.00 was not enclosed (see attached copy). Applicants' records indicate that a \$180.00 check to pay fees under 37 C.F.R. § 1.17(p) was enclosed and thus no charge to the deposit account should have been made. A copy of the returned check showing deposit of the \$180.00 check by the USPTO posted January 25, 2006 is attached.
3. **MANNER OF REFUND:** Please make refund by crediting our Deposit Account No. 16-0605.

Respectfully submitted,

*Cynthia V. Hall*

Cynthia V. Hall  
Registration No. 56,544

<b>Customer No. 00826</b> <b>ALSTON &amp; BIRD LLP</b> Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Atlanta Office (404) 881-7000	<b>CERTIFICATION OF FACSIMILE TRANSMISSION</b> I hereby certify that this paper is being facsimile transmitted to the US Patent and Trademark Office at Fax No. (571) 273-6500 on the date shown below.  <i>Shana Moore</i> Shana Moore  3/8/06 Date
---	---



**United States  
Patent and  
Trademark Office**

Return To:  
USPTO  
Home  
Page  
Finance  
Online  
Shopping  
Page

**Deposit Account Statement**

Requested Statement Month: January 2006  
 Deposit Account Number: 160605  
 Name: ALSTON & BIRD LLP  
 Attention: BANK OF AMERICA  
 Address: 101 SOUTH TRYON STREET  
 City: CHARLOTTE  
 State: NC  
 Zip: 28280-4000  
 Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/03	4	78333870	023119/182238	6003	-\$100.00	\$45,413.00
01/03	5	78333870	023119/182238	6003	-\$100.00	\$45,513.00
01/03	6	78976844		6003	\$200.00	\$45,313.00
01/03	102	10465037	NC39014 (9022.014)	1252	\$450.00	\$44,863.00
01/03	111	11200515		8021	\$40.00	\$44,823.00
01/03	145	10497825		8021	\$40.00	\$44,783.00
01/03	164	60674134	033784/235234	8007	\$20.00	\$44,763.00
01/03	211	11200506	038190/291296	8021	\$40.00	\$44,723.00
01/04	10	10405565		1253	-\$1,020.00	\$45,743.00
01/04	13	11248670	040857/261296	2311	-\$50.00	\$45,793.00
01/04	15	10405565		9204	-\$450.00	\$46,243.00
01/04	49	10144656	18360/247771	1202	\$50.00	\$46,193.00
01/04	70	10318475	003000/000402	1806	\$180.00	\$46,013.00
01/04	80	60762758	048045/304391	8021	\$40.00	\$45,873.00
01/04	87	10714502		8021	\$40.00	\$45,833.00
01/04	90	60754792	06128/301403	1005	\$200.00	\$45,733.00
01/04	114	0150500435	304905:SMP	8014	\$25.00	\$45,708.00
01/04	115	0149850829	304905:SMP	8014	\$25.00	\$45,683.00
01/04	184	11315734	42933/304187	8021	\$40.00	\$45,643.00
01/05	3	10292842	31599263182	1253	\$900.00	\$44,743.00
01/05	3	10706656	035576/275601	1806	\$180.00	\$44,563.00
01/05	13	10086737	1030.41370X0	1252	\$450.00	\$44,113.00
01/05	14	10086737	1030.41370X0	1801	\$780.00	\$43,323.00
01/05	24	PCT/US04/27253		9204	-\$1,620.00	\$44,843.00
01/05	42	09842488	35576/233803	1253	\$1,020.00	\$43,823.00
01/05	43	09842466	35576/233803	1401	\$500.00	\$43,423.00
01/05	55	10712870	43314/270278	2801	\$395.00	\$43,028.00
01/05	63	10860781	P5769	1251	\$120.00	\$42,908.00

01/18 17	11053820	048777/288626	1255	\$2,160.00	\$58,794.00
01/18 26	80680842	045600/292599	8021	\$40.00	\$58,764.00
01/18 46	10372843	35626/258639	1806	\$180.00	\$58,574.00
01/18 58	11218943		8021	\$40.00	\$58,534.00
01/18 61	11249049	030627/279059	1011	\$300.00	\$58,234.00
01/18 62	11249049	030627/279059	1111	\$500.00	\$57,734.00
01/18 63	11249049	030627/279059	1311	\$200.00	\$57,534.00
01/18 64	11249049	030627/279059	1051	\$130.00	\$57,404.00
01/18 66	11249049	030627/279059	1251	\$120.00	\$57,284.00
01/18 98	11330471	035051/305148	1011	-\$300.00	\$57,584.00
01/18 99	11330471	035051/305146	1111	-\$500.00	\$58,084.00
01/18 100	11330471	035051/305146	1311	-\$200.00	\$58,284.00
01/18 101	11330471	035051/305148	1081	-\$250.00	\$58,534.00
01/18 171	4756230	049844/304230	8021	\$120.00	\$58,414.00
01/18 317	11129064	046261/292801	8021	\$40.00	\$58,374.00
01/18 341	60451918	296770:SMP	8007	\$20.00	\$58,354.00
01/18 438	60657968	038867/280944	8007	\$20.00	\$58,334.00
01/18 462	29234555	48748/303948	8007	\$60.00	\$58,274.00
01/19 1	10215833	011043	1806	\$180.00	\$58,094.00
01/19 3	0170100415	038867/280944	8014	\$25.00	\$58,069.00
01/19 6	0170100411	038867/280944	8014	\$25.00	\$58,044.00
01/19 28	10348841	38190/246068	1801	\$790.00	\$57,254.00
01/19 29	10348841	38190/246068	1252	\$330.00	\$56,924.00
01/19 49	11065494	048992/289358	2801	\$395.00	\$56,529.00
01/19 55	09656678	8190-424	1801	\$790.00	\$55,739.00
01/19 56	09656678	8190-424	1251	\$120.00	\$55,619.00
01/19 62	60759308	040989/243656	2005	\$100.00	\$55,519.00
01/19 65	60759298	040989/305984	1005	\$200.00	\$55,319.00
01/19 106	29187353	43789-261614	1502	\$800.00	\$54,519.00
01/19 110	29187367	43789-261611	1502	\$800.00	\$53,719.00
01/19 123	10883607	043774/279565	2251	\$60.00	\$53,659.00
01/19 239	11173842	042933/302231	8021	\$40.00	\$53,619.00
01/19 284	11316597		9204	-\$1,200.00	\$54,819.00
01/19 302	6006948	050704/304692	8021	\$200.00	\$54,619.00
01/19 339	11332556	18360/306500	1011	\$300.00	\$54,319.00
01/19 340	11332556	18360/306500	1111	\$500.00	\$53,819.00
01/19 341	11332556	18360/306500	1311	\$200.00	\$53,619.00
01/19 342	10112621	18360/235300	1251	\$120.00	\$53,499.00
01/20 18	D479935	031603/303566	8021	\$1,280.00	\$52,219.00
01/20 69	10880171		9204	-\$300.00	\$52,519.00
01/20 80	80612073	048143/279087	8021	\$40.00	\$52,479.00
01/20 96	60727274	043559/301401	2005	\$100.00	\$52,379.00
01/20 97	60727274	043559/301401	2052	\$25.00	\$52,354.00
01/20 135	11237528	023895/295642	8021	\$40.00	\$52,314.00
01/20 260	11231693	038712/298355	8021	\$40.00	\$52,274.00
01/23 8	10708863	035576/271460	1806	\$180.00	\$52,084.00
01/23 13	10260888	033794/265040	1251	\$10.00	\$52,084.00
01/23 19	10993913	104035.285184	1201	\$400.00	\$51,684.00
01/23 29	09229499	0009562.0001	2251	\$60.00	\$51,624.00



Attorney's Docket No. 035576.271460

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Iwatani, et al. Confirmation No.: 6716  
Appl. No.: 10/706,863 Group Art Unit: 2826  
Filed: November 12, 2003 Examiner: Quach, Tuan N.  
For: COMPOSITION FOR FORMING POROUS FILM, POROUS FILM  
AND METHOD FOR FORMING THE SAME, INTERLEVEL INSULATOR  
FILM, AND SEMICONDUCTOR DEVICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on form PTO-1449 along with a copy of any cited foreign patent documents and non-patent literature document in accordance with 37 CFR 1.98(a)(2). Also enclosed is a translation or a concise explanation of each non-English language document.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before final Office Action or Allowance, whichever is earlier.

In accordance with the requirements of 37 C.F.R. § 1.97(c), the following statement as specified in 37 C.F.R. § 1.97(e) is made:

01/23/2006 10:55:51 00000007 150505 10706863  
01 FEB 2006 180.00 DR

The PTO did not receive the following  
listed item(s):

The PTO did not receive the following  
listed item(s): Check for PTO

Attorney's Docket No. 035576.271460

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Iwabuchi, et al.	Confirmation No.:	6716
Appl. No.:	10/706,863	Group Art Unit:	2826
Filed:	November 12, 2003	Examiner:	Quach, Tuan N.
For:	COMPOSITION FOR FORMING POROUS FILM, POROUS FILM AND METHOD FOR FORMING THE SAME, INTERLEVEL INSULATOR FILM, AND SEMICONDUCTOR DEVICE		

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on form PTO-1449 along with a copy of any cited foreign patent documents and non-patent literature document in accordance with 37 CFR 1.98(a)(2). Also enclosed is a translation or a concise explanation of each non-English language document.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

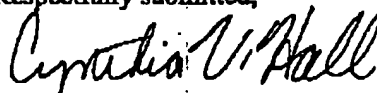
This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before final Office Action or Allowance, whichever is earlier.

In accordance with the requirements of 37 C.F.R. § 1.97(c), the following statement as specified in 37 C.F.R. § 1.97(e) is made:

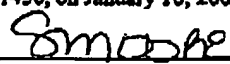
In re: Iwabuchi, et al.  
Appl. No.: 10/706,863  
Filed: November 12, 2003  
Page 2

A check for the \$180.00 fee specified in 37 C.F.R. § 1.17(p) is enclosed. The Commissioner is authorized to charge any additional fee, or credit any refund, to our Deposit Account No. 16-0605.

Respectfully submitted,



Cynthia V. Hall  
Registration No. 56,544

<p>Customer No. 00826 <b>ALSTON &amp; BIRD LLP</b> Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Atlanta Office (404) 881-7000 Fax Atlanta Office (404) 881-7777</p>	<p><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 18, 2006.</p> <p> Shana Moore</p>
--	--

Wachovia Bank Account No. WBGA 2079900514010

Front Image	
<b>CHECK DATE</b> 01/18/06  <b>ALSTON &amp; BIRD LLP</b> One Atlantic Center 1254 West Peachtree Street Atlanta, Georgia 30309-3434 F.E.I.A. 58-0137615	<b>CHECK NO.</b> 00047721  <b>CHECK AMOUNT</b> <b>\$180.00</b>  TWO SIGNATURES REQUIRED ON CHECK OVER \$10,000.00  <i>John W. Hilbert</i>
<b>PAY TO THE ORDER OF</b> Contribution for Patents PO Box 1450 Alexandria, VA 22313-1450	
<b>ONE HUNDRED EIGHTY AND 00/100</b>	
MICR LINE: ⑈00047721⑈ ⑈051204756⑈ 2079900514010⑈ ⑈0000018000⑈	

Back Image	
MICR LINE: ⑈051204756⑈ 2079900514010⑈ ⑈0000018000⑈	MICR LINE: ⑈00047721⑈ ⑈051204756⑈ 2079900514010⑈ ⑈0000018000⑈

View	Post Date	Amount	Serial No	Sequence No
1	01/25/2006	\$180.00	47721	6219247828

© Wachovia Corporation, 2004



This facsimile message and its contents are legally privileged and confidential information intended solely for the use of the addressee. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, copying or other use of this message and its contents is strictly prohibited. If you have received this telecopy in error, please notify us immediately by telephone and return the original message to us at the address shown below via the Postal Service. Thank You.

## ALSTON & BIRD LLP

One Atlantic Center  
1201 West Peachtree Street  
Atlanta, Georgia 30309-3424  
404-881-7000  
Fax: 404-881-4777

### TELECOPY

PLEASE DELIVER AS SOON AS POSSIBLE

Date:

March 8, 2006

Recipient:

Re:

United States Patent and  
Trademark Office - MAIL STOP 16

Application No. 10/706,863

Fax Number:

Sender:

571-273-6500

Cynthia V. Hall

Message:

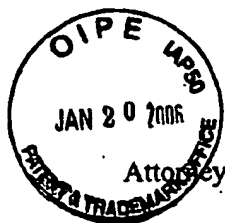
Number of Pages: (including cover page)

9

IF NOT RECEIVED PROPERLY, PLEASE NOTIFY SHANA MOORE IMMEDIATELY AT x4523.

USER CODE:	hak-y	REQUESTED BY:	Cynthia V. Hall
CLIENT/MATTER:	035:76/271460	OPERATOR:	

ATL01/11943020v1



Attorney's Docket No. 035576.271460

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Iwabuchi, et al. Confirmation No.: 6716  
Appl. No.: 10/706,863 Group Art Unit: 2826  
Filed: November 12, 2003 Examiner: Quach, Tuan N.  
For: COMPOSITION FOR FORMING POROUS FILM, POROUS FILM  
AND METHOD FOR FORMING THE SAME, INTERLEVEL INSULATOR  
FILM, AND SEMICONDUCTOR DEVICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on form PTO-1449 along with a copy of any cited foreign patent documents and non-patent literature document in accordance with 37 CFR 1.98(a)(2). Also enclosed is a translation or a concise explanation of each non-English language document.

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before final Office Action or Allowance, whichever is earlier.

In accordance with the requirements of 37 C.F.R. § 1.97(c), the following statement as specified in 37 C.F.R. § 1.97(e) is made:

01/23/2006 HDEHESS1 00000007 160605 10706863  
01 FC:1806 180.00 DA

The PTO did not receive the following  
listed item(s)

Adjustment date: 03/23/2006 SDIRETA1  
01/23/2006 HDEHESS1 00000007 160605 10706863  
01 FC:1806 180.00 CR

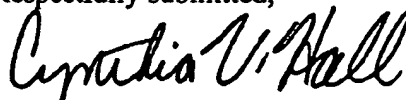
The PTO did not receive the following  
listed item(s) Check 3 to 50

03/23/2006 SDIRETA1 00000015 10706863  
01 FC:1806 180.00 DP

In re: Iwabuchi, et al.  
Appl. No.: 10/706,863  
Filed: November 12, 2003  
Page 2

A check for the \$180.00 fee specified in 37 C.F.R. § 1.17(p) is enclosed. The Commissioner is authorized to charge any additional fee, or credit any refund, to our Deposit Account No. 16-0605.

Respectfully submitted,



Cynthia V. Hall  
Registration No. 56,544

**Customer No. 00826**  
**ALSTON & BIRD LLP.**

Bank of America Plaza  
101 South Tryon Street,  
Suite 4000

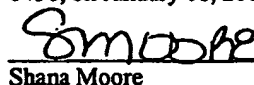
Charlotte, NC 28280-4000

Tel Atlanta Office (404) 881-7000

Fax Atlanta Office (404) 881-7777

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 18, 2006.



Shana Moore

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ **BLACK BORDERS**

☒ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☒ **FADED TEXT OR DRAWING**

☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☒ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**